



ASSESSMENT REPORT

2021 PILOT

Maple-Brown Abbott Limited

Generated 2022-11-21

ABOUT THIS REPORT

The assessment report is designed to provide feedback to signatories and support ongoing learning and development. The high-level assessment methodology can be found [here](#) and question-level assessment criteria can be found after each indicator in the Investor Reporting Framework, [here](#). Note that the 2021 scoring methodology has changed to reflect the new Reporting Framework, and is incomparable with scores from previous PRI years.

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A dataset of medians based on all signatories' scores can be referenced [here](#).

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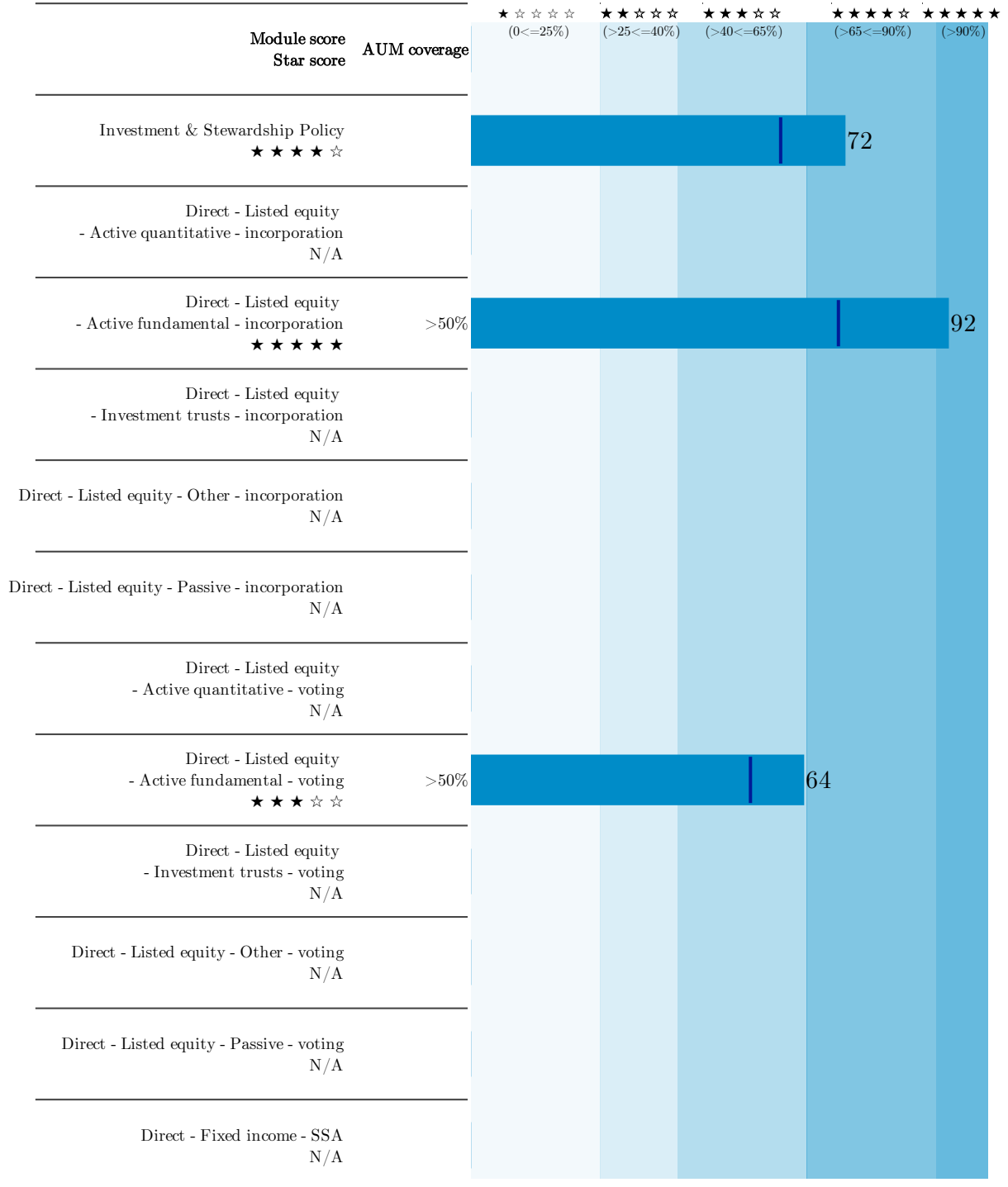
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Summary Scorecard

PRI Median ■ Module Score



Summary Scorecard (continued)

PRI Median ■ Module Score

	Module score Star score	AUM coverage	☆☆☆☆ (0<=25%)	★★★★ (>25<=40%)	★★★★☆ (>40<=65%)	★★★★★ (>65<=90%)	★★★★★ (>90%)
Direct - Fixed income - Corporate	N/A						
Direct - Fixed income - Securitised	N/A						
Direct - Fixed income - Private debt	N/A						
Direct - Private equity	N/A						
Direct - Real estate	N/A						
Direct - Infrastructure	N/A						
Direct - Hedge funds - Multi-strategy	N/A						
Direct - Hedge funds - Long/short equity - incorporation	N/A						
Direct - Hedge funds - Long/short equity - voting	N/A						
Direct - Hedge funds - Long/short credit	N/A						
Direct - Hedge funds - Distressed, special situations, and event-driven fundamental	N/A						
Direct - Hedge funds - Structured credit	N/A						

Summary Scorecard (continued)

PRI Median ■ Module Score

	Module score Star score	AUM coverage	☆☆☆☆ (0<=25%)	☆☆☆☆ (>25<=40%)	☆☆☆☆ (>40<=65%)	☆☆☆☆ (>65<=90%)	☆☆☆☆ (>90%)
Direct - Hedge funds - Global macro	N/A						
Direct - Hedge funds - Commodity trading advisor	N/A						
Direct - Hedge funds - Other	N/A						
Indirect - Listed equity - Passive	N/A						
Indirect - Listed equity - Active	N/A						
Indirect - Fixed income - Passive	N/A						
Indirect - Fixed income - Active	N/A						
Indirect - Private equity	N/A						
Indirect - Real estate	N/A						
Indirect - Infrastructure	N/A						
Indirect - Hedge funds	N/A						

Indicator Level Scores For Investment & Stewardship Policy

Section	Sub-section	Topic	Indicator	Your Score	PRI Median Score	Median Sample Size
Responsible investment policy & governance	Responsible investment policy	Signatories responsible investment policy	ISP 1	200/200	200/200	2791
Responsible investment policy & governance	Responsible investment policy	Signatories with elements of responsible investment policy	ISP 1.1	120/200	160/200	2791
Responsible investment policy & governance	Responsible investment policy	Signatories with public responsible policy elements	ISP 2	200/200	200/200	2791
Responsible investment policy & governance	Responsible investment policy	Coverage of responsible policy elements	ISP 3	0/200	200/200	2791
Responsible investment policy & governance	Governance	Roles with formal oversight and accountability for responsible investment	ISP 6	150/150	150/150	2791
Responsible investment policy & governance	Governance	Roles with implementation responsibilities for responsible investment	ISP 7	100/100	100/100	2791
Responsible investment policy & governance	People and capabilities	Staff objectives for responsible investment practices	ISP 8	150/150	150/150	2791
Responsible investment policy & governance	People and capabilities	Bonuses for responsible investment practices	ISP 8.2	100/100	32/100	2789
Responsible investment policy & governance	People and capabilities	Frequency at which staff training for responsible investment is reassessed	ISP 9	64/100	64/100	2791
Responsible investment policy & governance	Strategic asset allocation	Signatories incorporating ESG factors in strategic asset allocation	ISP 10.1	56/150	56/150	1755
Stewardship	Stewardship policy	Coverage of stewardship policy	ISP 11	150/200	200/200	2223
Stewardship	Stewardship policy	Elements of stewardship policy	ISP 12	60/150	90/150	1987
Stewardship	Stewardship policy implementation	Primary mechanism of stewardship policy	ISP 13	150/150	150/150	1987
Stewardship	Stewardship objectives	Primary objective of stewardship policy	ISP 15	50/200	100/200	2223

Indicator Level Scores For Investment & Stewardship Policy (continued)

Section	Sub-section	Topic	Indicator	Your Score	PRI Median Score	Median Sample Size
Stewardship	Stewardship prioritisation	Criteria for prioritising engagement targets	ISP 16	100/100	100/100	2180
Stewardship	Collaborative stewardship	Positions on collaborative engagement	ISP 18	75/150	75/150	1314
Stewardship	Escalation strategies	Escalation strategies not used in stewardship	ISP 20	150/150	150/150	1721
Stewardship	Engaging policymakers	Signatories who engage with public policy makers	ISP 23	100/200	100/200	1927
Stewardship	Engaging policymakers	Methods used to engage with public policy makers	ISP 23.1	50/200	150/200	1927
Stewardship	Engaging policymakers	Signatories with governance processes to ensure alignment of political activity with stance on responsible investment	ISP 23.2	200/200	200/200	1927
Stewardship	Engaging policymakers – Policies	Signatories with policies to ensure alignment of political activity with stance on responsible investment	ISP 24	0/200	0/200	1927
Stewardship	Engaging policymakers – Policies	Public disclosure of political influence policies	ISP 24.1	0/150	0/150	1927
Climate change	Public support	Signatories publicly supporting the Paris Agreement	ISP 26	150/150	0/150	2791
Climate change	Public support	Signatories publicly supporting the TCFD	ISP 27	150/150	0/150	2791
Climate change	Governance	Board oversight for climate-related risks and opportunities [TCFD Governance A]	ISP 28	150/200	100/200	2791
Climate change	Governance	Management responsibility for climate-related risks and opportunities [TCFD Governance B]	ISP 29	200/200	100/200	2791
Climate change	Strategy	Time horizon for climate risks and opportunities [TCFD Strategy A]	ISP 30.1	200/200	100/200	2791
Climate change	Strategy	Climate risks and opportunities identified beyond investment horizon [TCFD Strategy A]	ISP 31	200/200	0/200	2791

Indicator Level Scores For Investment & Stewardship Policy (continued)

Section	Sub-section	Topic	Indicator	Your Score	PRI Median Score	Median Sample Size
Climate change	Strategy: Scenario analysis	Use of climate scenario analysis	ISP 33	200/200	0/200	2791
Sustainability outcomes	Identify sustainability outcomes	Tools and frameworks used to identify and map activities onto sustainability outcomes	ISP 44	128/200	64/200	2791
Sustainability outcomes	Identify sustainability outcomes	Levels at which sustainability outcomes are identified and mapped	ISP 44.1	150/150	150/150	2790
Sustainability outcomes	Identify sustainability outcomes	Processes for identifying most salient sustainability outcomes	ISP 45	200/200	200/200	2791
Transparency & Confidence-Building Measures	Information disclosed – ESG assets	Information shared regarding ESG products	ISP 46	150/150	112/150	1592
Transparency & Confidence-Building Measures	Client reporting – ESG assets	Information included in regular client reporting for ESG products	ISP 48	112/150	112/150	1229
Transparency & Confidence-Building Measures	Information disclosed – All assets	Information shared	ISP 49	150/150	150/150	2791
Transparency & Confidence-Building Measures	Client reporting – All assets	ESG information included in regular client reporting	ISP 50	100/200	100/200	2239
Transparency & Confidence-Building Measures	Frequency of client reporting – All assets	Frequency of client reporting of ESG information	ISP 51	100/100	50/100	2058
Transparency & Confidence-Building Measures	Confidence-building measures	Confidence building measures	ISP 52	200/200	128/200	2791
Transparency & Confidence-Building Measures	Confidence-building measures	Internal review: coverage	ISP 58	83/100	100/100	2392
Transparency & Confidence-Building Measures	Confidence-building measures	ESG labels held	ISP 59	0/200	128/200	500

Indicator Level Scores For Direct - Listed equity - Active fundamental - incorporation

Section	Sub-section	Topic	Indicator	Your Score	PRI Median Score	Median Sample Size
Pre-investment phase	Materiality analysis	AUM coverage of ESG materiality assessment process	LE 1	150/150	150/150	1085
Pre-investment phase	Materiality analysis	How ESG is incorporated into materiality assessment process	LE 1.1	200/200	160/200	1084
Pre-investment phase	Long-term ESG trend analysis	Continuous monitoring of long-term ESG trends	LE 2	150/150	150/150	1083
Pre-investment phase	ESG incorporation	Coverage of valuation and financial modelling incorporating ESG risks	LE 3.1	150/150	98/150	1084
Pre-investment phase	Assessing ESG performance	AUM coverage of information used in incorporating ESG in financial modelling	LE 4.1	138/150	112/150	1084
Pre-investment phase	ESG incorporation in portfolio construction	Portion of cases where ESG affected portfolio construction	LE 6.1	138/150	112/150	1085
Pre-investment phase	ESG risk management	Compliance processes for negative screening	LE 8	128/200	64/200	821
Post-investment phase	ESG risk management	Incorporation of ESG in regular reviews	LE 9	112/150	112/150	1082
Post-investment phase	ESG risk management	Identification of ESG incidents	LE 10	100/100	100/100	1084
Reporting/Disclosure	Sharing ESG information with stakeholders	Communication of the side-effects of listed equity screening to clients or beneficiaries	LE 13	100/100	65/100	846
Reporting/Disclosure	Sharing ESG information with stakeholders	ESG information included in client reporting	LE 14	200/200	131/200	1083

Indicator Level Scores For Direct - Listed equity - Active fundamental - voting

Section	Sub-section	Topic	Indicator	Your Score	PRI Median Score	Median Sample Size
Stewardship	Voting policy	Voting policies	LE 15	200/200	200/200	1144
Stewardship	Voting policy	Coverage of voting policy	LE 15.1	150/200	200/200	1144
Stewardship	Voting policy	Guidance on specific ESG issues in voting policy	LE 16	0/150	112/150	1144
Stewardship	Shareholder resolutions	Stance on shareholder resolutions	LE 19	150/150	150/150	1098
Stewardship	Pre-declaration of votes	Pre-declaration of votes	LE 20	48/150	0/150	1115
Stewardship	Voting disclosure post AGM/EGM	Disclosure of voting activities	LE 21	200/200	64/200	1144
Stewardship	Voting disclosure post AGM/EGM	Time between vote and disclosure of voting activities	LE 21.1	38/150	38/150	1144
Stewardship	Voting disclosure post AGM/EGM	Coverage of communication of the rationale for voting abstain/against management recommendations	LE 22.1	72/150	0/150	1059